## **TEXT OF PROPOSED REGULATIONS**

In the following, <u>underline</u> indicates added or amended text, and <del>strikethrough</del> denotes deleted text.

[Note: The order of proposed changes has been deliberately organized so as to emphasize amendment of Section 7001 over that of the repeal of Sections 2005, 3416, and 4020.]

Division 7 of Title 15 is amended as follows:

The heading of Chapter 1 is amended to read:

Chapter 1. <u>California Department of Corrections and Rehabilitation</u> <del>Youth and Adult Correctional Agency—</del>Conflict of Interest Code

Section 7001. General Provisions.

The Political Reform Act, (Government Code Sections 81000, et seq., ) requires each state and local government agencies to adopt and promulgate a financial Ceonflict of linterest Ceodes. The terms of the standard financial Conflict of Interest Code adopted as a regulation (Title 2, Cal. Code of Regulations (CCR), Section 18730) by tThe Fair Political Practices Commission (FPPC), and any duly adopted amendments by the Commission are hereby incorporated by reference in accordance with the option afforded by subsection 18730(a)-has adopted a regulation, 2 Cal. Code of Regulations, Section 18730, which contains the terms of a standard conflict of interest code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. The provisions of this regulation and the attached Appendices in which officials and employees are designated and disclosure categories set forth Therefore, the terms of 2 Cal. Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated

by reference and constitute the <u>statement of economic interests</u> Ceonflict of linterest Ceode of the <u>Department of Corrections and Rehabilitation</u> Youth and Adult Correctional Agency.

Designated employees <u>and consultants</u> shall file statements of economic interests with the <u>department</u> Youth and Adult Correctional Agency and the <u>department</u> will make the statements available for public inspection and <u>reproduction</u>. Upon receipt of the statements of the <u>Board Members and Agency Secretary</u>, as well as of all board and commission members, designees (alternates) and ex-officio members, and executive officer/director(s) confirmed <u>by the Senate</u>, the <u>department agency</u> shall make and retain a copy and forward the originals of these statements to the <u>FPPC Fair Political Practices</u> Commission. Statements of for all other designated employees of the <u>department shall</u> will be retained by the <u>department Agency and made available</u> for public inspection and reproduction. (Gov. Code Section 81008).

## Appendix A

Coordon /Chief Evenutive Officer

Designated Positions (Statutory or Working Title)......Disclosure Categories

## CONFLICT OF INTEREST DESIGNATED POSITIONS

Secretary/Chief Executive Officer
Staff Assistant to the Secretary3
Undersecretary
Staff Assistant to the Undersecretary3
Consultant(s)*
Adult Operations
Chief Deputy Secretary
Director, Division of Adult Institutions1
Deputy Director1
Staff Assistant, Chief Deputy Secretary3
Staff Assistant to the Director
Associate Directors, Division of Adult Institutions

1 114

Associate Director, Adult Operations Support	1
Chief, Community Correctional Facility	
Chief, Women and Children Services	3
Regional Administrators, Institutions	
Administrator/Chief, Food Services	
Chief, Field Operations Support	3
Chief, Transportation	3
Director, Division of Adult Parole Operations	1
Deputy Director, Adult Parole Operations	1
Associate Director, Adult Parole and Community Services	1
Staff Services Manager II	3
Chief Deputy Administrator	3
Parole Administrator	<u>3</u>
Mental Health Program Director	3
Deputy Regional Administrator	1
Chief Psychiatrist	3
Senior Psychologist	3
Psychologist (Clinical) (inclusive)	3
Staff Psychiatrist, Correctional Facility (inclusive)	3
Staff Services Manager I	<u>3</u>
Parole Administrator I/II	3
Consultant(s)1	*
Adult Programs	
Chief Deputy Secretary, Adult Programs	1
Staff Assistant to the Chief Deputy Secretary	<u>3</u>
Director, Division of Community Partnerships	
Director, Division of Education, Vocations & Offender Programs	
Director, Division of Correctional Health Care Services	
Administrative Assistant to the Director	
Deputy Director of Behavioral Services	
Deputy Director, Academic & Vocational Education	
Deputy Director, Clinical Operations	
Deputy Director, Health Care Administration Operations	
Chief, Office of Substance Abuse Programs	<u>3</u>
Chief, Fiscal & Business Management	
Clinical Program & Policy Coordination Manager	
Deputy Director, Clinical Policy & Programs	
Chief Physician and Surgeon	
Chief Dentist	
Chief Psychiatrist	_
Chief PsychologistPharmacy Service Manager	J
Franciacy Service Manager	
	3
Statewide Director of Nursing	<u>3</u>
	3 3 3

Clinical Program Support & Evaluation Manager	3
Chief, Field Management Section	3
Regional Medical Directors	1
Chief Medical Officers (all institutions)	3
Chief Psychiatrist (all institutions)	3
Chief Psychologists (all institutions)	3
Pharmacists (all institutions)	3
Correctional Health Services Administrators (all institutions)	3
Consultant(s)	1*
Juvenile Justice Division Chief Deputy Secretary	1
Staff Assistant to the Chief Deputy Secretary	3
Director, Juvenile Facilities	1
Director, Juvenile Programs	1
Director, Juvenile Parole Operations	1
Medical Director	1
Youth Authority Administrator	3
Program Administrator/Institutions & Camps Business Manager	
Juvenile Justice Food Administrator	3
Parole Agent III (Program Specialists)	3
Staff Services Manager II (Branch Business Manager)	3
Regional Administrators, Parole Services & Community Corrections	3
Deputy Regional Administrators	3
Parole Agent III (Program Specialists, Regional Headquarters)	
Supervisory Parole Agents	3
Associate Director/Superintendent of Education	1
Assistant Superintendent of Education	<u>1</u>
Assistant Chief of Education	1
Site Principals	3
Consultant(s)	1 <u>*</u>
Division of Support Services  Director, Support Services	1
Facilities Management	
	1
Deputy Director, Facilities Management	<u>۱</u> ۲
Chief Deputy Warden, Security Operations & Maintenance Branch	<u></u> 1
Correctional Administrator, Security Operations	<u>۱</u> ۲
Correctional Plant Managers	<u></u>
Staff Services Manager III. Facility Maintenance & Technical Section	<u></u>
Staff Services Manager III, Facility Maintenance & Technical Section  Assistant Deputy Director, Day Labor & Professional Services	
Chief, Material & Technical Services	<u></u> 1
Unier Maienal & Technical Services	2

Program Equipment Manager	3
Associate Construction Analyst	3
Associate Business Services Analyst	3
Staff Services Analyst/Associate Governmental Program Analyst(s)	3
Business Services Officer I	
Business Services Assistant	
Chief, Inmate Day Labor	2
Construction Supervisor I/II/III	2
Equipment Maintenance Supervisor	<u> 2</u>
Staff Serv. Analyst/Assoc. Gov. Program Analyst/Assoc. Construction Analyst	2
Chief, Architectural/Engineering	<u>2</u>
Supervising Electrical Engineer	2
Supervising Mechanical Engineer	2
Supervising Civil Engineer	2
Supervising Architect	2
Associate/Senior Architect	2
Associate/Senior Civil Engineer	2
Associate/Senior Electrical Engineer	2
Associate/Senior Mechanical Engineer	2
Chief, Telecommunications Branch	1
Manager, Field Operations	2
Telecommunications Systems Managers	3
Telecommunications Systems Analyst	3
Radio, Telecommunications Systems Managers	3
Radio, Telecommunications Systems Analyst	3
Manager, Program Support	
Fiscal & Special Projects Manager	3
Contracts & Procurement Manager	3
Assistant Deputy Director, Project Planning, Finance & Management	<u> 1</u>
Branch Chief, Project Services & Management Branch	2
Project Director	1
Supervising Construction Engineer	2
Senior Architect	2
Branch Chief, Facility Planning & Finance Branch	1
Chief, Capital Outlay Section	2
Capital Outlay Analyst	2
Chief, Energy Management Section	2
Energy Resource Specialist	3
Chief, Financial and Project Support Section	<u> 2</u>
Staff Information Systems Analyst	3
Infrastructure Planning Manager	3
Project Support Manager	3
Contract/Fiscal Analyst	3
Supervising Environmental Planner	2
Managers, Facility Leasing & Maintenance Section	
Managers, Business & Support Services Section	3

Analysts, Facility Leasing & Maintenance Section	<u> 3</u>
Analyst, Business & Support Services Section	3
Consultant(s)	1*
Budget Management	
Deputy Director, Fiscal Management Services	1
Chief, Office of Budget Management	1
Chief, Business Information Systems	1
Consultant(s)	1*
Business Services	
Correctional Business Manager	2
Correctional Plant Manager	2
Chief Engineer	
Procurement and Services Manager	
Office of Contract Services, Associate Director	<u> 1</u>
Chief, Institutional Medical Contracts	3
Chief, Institutional Service Contracts	3
Chief, Service Contracts Section	3
Manager, Institution Medical Contracts Unit	3
Manager, Institution Service Contracts Unit	3
Manager, Service Contracts Section	3
Manager, Construction Contract and Bid Packages Unit	3
Contract Analysts	3
Office of Business Management Branch, Associate Director	<u> 1</u>
Chief, Facility Leasing & Maintenance Section	<u> 2</u>
Chief, Business and Support Services	<u> 3</u>
Business Services Officer I/II/III	3
Business Services Assistant	3
Consultant(s)	1*
<u>Human Resources</u>	
Deputy Director, Human Resources	
Associate Director, Human Resources	
Chief, Office of Personnel Services	
Section Chief, Technical Support and Employee Discipline	
Chief, Office of Executive Recruitment & Program Management	<u> 1</u>
Chief Learning Officer, Office of Training & Professional Development	<u> 1</u>
Chief, Training Development and Support Services	
Correctional Administrator, Galt	
Correctional Business Administrator, Galt Facility	
Parole Training Administrator	<u> 1</u>
Chief, Office of Workforce Planning & Selection	<u> 1</u>
Chief, Office of Peace Office Recruitment	
Chief, Office of Employee Wellness	<u> 1</u>
Consultant(s)	1*

## Offices of the Department

Assistant Secretary, Office of Civil Rights	1
Assistant Secretary, Public & Employee Communications/Press Secretary	1
Deputy Press Secretary	
Correctional Plant Manager	<u>1</u>
Procurement Services Officer I	1
Assistant Secretary, Office of Legislation	1
General Counsel, Legal Affairs	1
Chief Deputy General Counsel	1
Assistant Chief Counsel	1
Senior Staff Counsel	1
Staff Counsel	
Chief Information Officer/Assist. Sec. Enterprise Information Services	1
Data Processing Manager IV	3
Data Processing Manager III	3
Annual Information Management Strategy Planner	3
Senior Programmer Analyst (Supervisor)	
Senior Information Systems Analyst (Supervisor)	
Data Processing Manager II	3
Contract Analyst	3
Systems Software Specialist II (Supervisory)	3
Assistant Secretary, Office of Labor Relations	1
Chief, Office of Labor Relations	1
Labor Relations Manager	3
Assistant Secretary, Internal Affairs	1
Assistant Secretary, Office of the Ombudsman	<u>1</u>
Ombudsman	
Assistant Secretary, Office of Research	
Assistant Secretary, Office of Victim and Survivor Rights & Services	
Assistant Secretary, Office of Correctional Safety	
Assistant Secretary, Office of Audits and Compliance	
Assistant Secretary, Office of Policy Analysis and Planning	
Chief, Adult Policy Analysis and Planning	<u>1</u>
Chief, Juvenile Policy Analysis and Planning	<u>1</u>
Grants Administrator	<u> 1</u>
Assistant Secretary, Office of Risk Management	<u>1</u>
Chief, Office of Environmental Health & Safety	
Chief, Disability Management Section	3
Assistant Secretary, Office of Health Care Policy	<u>1</u>
Consultant(s)	1*
Individual Institutions/Facilities (Adult)	
Warden	<u>1</u>
Chief Deputy Warden	1
Associate Warden	

Correctional Administrators	3
Correctional Plant Manager	2
Correctional Business Manager	2
Procurement and Services Officer	3
Prison Canteen Manager	3
Business Services Manager	3
Correctional Food Manager	3
Consultant(s)	1*
Individual Institutions/Facilities (Juvenile)	
SuperintendentAssistant Superintendent	1
Assistant Superintendent	1
Program Administrator (Camp Superintendent)	<u> 3</u>
Treatment Team Supervisor (Camp Assistant Superintendent)	3
Business Manager II	3
Business Services Officer (all levels)	3
Chief Dentist	<u> 3</u>
Dentist	3
Chief Medical Officer	
Physician & Surgeon	<u> 3</u>
Chief Engineer	<u> 3</u>
Chief of Plant Operations (all levels)	<u> 3</u>
Chief of Security	<u> 3</u>
Culinary Arts Instructor – Delta Room	<u> 3</u>
Culinary Arts Instructor – Feast Room	
Food Manager	<u> 3</u>
LAN Manager	
Materials and Stores Supervisor (Canteen)	<u> 3</u>
Procurement and Services Officer (all levels)	
Program Administrator (Business Manager)	<u>3</u>
Supervisor of Building Trades	
Training Officer I and II	<u>3</u>
Youth Authority Administrator (Business Administrator)	<u>3</u>
Consultant(s)	1*
Prison Industry Authority	
General Manager	<u> 1</u>
Chief, Assistant General Manager	
Prison Industry Administrator	
Prison Industry Manager	<u>3</u>
Chief, Accounting Services	<u>3</u>
Chief, Budget Service	<u>3</u>
Chief, Business Service	<u>3</u>
Service Contract Manager	
Business Services Officer I	
Assistant General Manager	3

Branch Manager-Furniture	3
Branch Manager-Metal/Services	3
Branch Manager-Consumables	
Sales Manager	3
Branch Manager-Marketing Services	3
Manager-Marketing & Business Analysis	
Chief, Human Resources Division	
Chief, Office of Public Affairs	3
Chief, Information Systems	3
Consultant(s)	
Board of Parole Hearings Youthful Offender Parole	
Commissioner	1
Executive Officer	1
Chief Deputy Commissioner	3
Associate Chief Deputy Commissioner	
Deputy Commissioner/Board Representative	3
Chief, Investigations	3
Chief, Offender Screening	3
Chief, Policy & Appeals	3
Staff Services Manager I. Fiscal/Business Services	3
Staff Services Manager I, Scheduling/Decision Processing Unit	3
Staff Services Manager I, ADA Compliance Unit	3
Board Members	
Board Hearing Representative	
Administrative Officer	
Staff Counsel	
Consultant(s)	,
<u> </u>	
Corrections Standards Authority Board of Corrections	
Board Member(s) **	1
Members Appointed by Governor	
Executive <u>Director</u> <del>Officer</del>	
Chief, Program Support	
Chief, Standards & Training for Corrections	1
Chief, Correctional Planning & Programs	1
Chief, Facilities Standards & Operations Division	1
Field Representatives	<u>IV</u>
Consultant(s)	1*
Ochodita (t(o)	
Prison Industry Board	
Board Members(s) **	1
Board Members(s) **	
onomani <sub>(o)</sub>	<u>I</u>
State Commission on Juvenile Justice	
Member Juvenile Justice Commission**	1

Consultant(s)		<u>1</u> '
	Council on Criminal Justice	
Public Member(s) *	*	1
Consultant(s)		1,

## Appendix B

Disclosure Categories

Category I. Employees shall report all Interests in Regal Peroperty in the State of California, as well as investments, business positions and sources of income, including gifts, loans and travel payments.

Category H2. Employees shall report all investments and business positions in business entities and sources of income including gifts, loans and travel payments that engage in land development, construction, or acquisition or sale of real property, and interests in real property located within California, which within the last two years has been, or the employee knows or has reason to know, will be rented or leased by the Department of Corrections and Rehabilitation or in any way may profit the individual by Department of Corrections and Rehabilitation use Investments in business entities, business positions in business entities, income/gifts from any source, if the business entity or source of income is doing, is planning to do, or has within the preceding two years done business within the State of California.

Category III 3. Employees shall report all investments and business positions in business entities and sources of income, including gifts, loans and travel payments, that may contract with the designated employee's immediate office, division or branch to provide goods, equipment, machinery or services, including

<u>Corrections and Rehabilitation</u> Investments in business entities, business positions in business entities, income/gifts from any source, if the business entity or source of income has within the preceding two (2) years or is the type that has contracted with the Board to provide: A. Consulting services, training seminars and facilities for seminars/conferences, materials and supplies related to training.

B. Services to parolees. C. Services, supplies or equipment of a general procurement nature.

## Category IV

Investments in business entities, business positions in business entities, income/gifts from any source, if the business entity or source of income is a firm offering architectural services, or a firm offering consulting services in the field of criminal justice, and is doing, is planning to do, or has within the preceding two (2) years done business within the State of California.

## [Relocated from above:]

## \* Consultants:

\*Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The <u>chief executive officer</u> Secretary of the agency may determine in writing that a particular consultant, although a "designated <u>position</u> employee," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section.

Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The <u>chief executive officer's Secretary of the agency's</u> determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

\*\* Any person in this capacity who is already filing a statement with full disclosure and that statement is forwarded to the FPPC will not have to file an additional statement under the provisions of this Conflict of Interest Code.

NOTE: Authority cited: Sections 12838.5, 87300 and 87302, Government Code; and Section 5058, Penal Code. Reference: Sections 87300, 87302, and 87306, Government Code; and Section 5054, Penal Code.

Division 2, Chapter 1, Article 2 of Title 15 is amended as follows: Section 2005 is repealed.

§2005. Conflict of Interest Code.

- (a) General. The terms of California Code of Regulations, title 2, Section 18730 and any duly adopted amendments adopted by the Fair Political Practices Commission, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of the Board of Prison Terms.
- (b) Designated Employees: Statements. Designated employees shall file statements of economic interests with the Board. Upon receipt of the statements of Commissioners of the Board and the Executive Officer, the Board shall make and retain a copy and forward the original of these statements to the Fair Political Practices Commission. Statements of all other designated employees of the Board shall be retained by the Board.

#### NOTE

Authority cited: Sections 87300 and 87304, Government Code. Reference: Section 87300, et seq., Government Code; and Section 5075, Penal Code.

**Appendix** 

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CATEGORIES	
Commissioners	<del>_1</del>
Executive Officer	<del>1</del>
Chief Counsel	<del>1</del>
Staff Counsel III	<del>_2</del>
Staff Counsel	<del>_2</del>
Chief Deputy Commissioner	<del>_2</del>
Associate Chief Deputy Commissioners	<del>_2</del>
Deputy Commissioners	<del>_2</del>
Parole Agent III/Executive Division	_3
Chief, Administrative Services	<del>_3</del>
Chief, Investigations	<del>_2</del>
Chief, Offender Screening	<del>_2</del>
Chief, Policy and Appeals	<del>_2</del>
Senior Programmer Analyst, Data Processing	_3
Staff Services Manager I, Fiscal/Business Services	_3
Staff Services Manager I,	
Scheduling/Decision Processing Unit	<del>_3</del>
Consultant	FNa1

## **Disclosure Categories**

DESIGNATED EMPLOYEES

## Category 1

Employees shall report all investments, interests in real property, business positions and sources of income which include gifts, loans and travel payments.

**DISCLOSURE** 

## Category 2

Employees shall report business entities in which they have an investment or business position, and all sources of income which include gifts, loans and travel payments.

## Category 3

Employees shall report business entities in which they have an investment or business position, and sources of income, if the business entity or source of income is of the type which contracts with the Board of Prison Terms or with the State of California to provide services, supplies, materials, machinery or equipment. Income includes gifts, loans and travel payments.

[FNa1] The Executive Officer may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Officer's

determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.

Division 3, Subchapter 5, Article 2 of Title 15 is amended as follows: Section 3416 is repealed.

#### 3416. Conflict of Interest Code.

The terms of 2 Cal. Admin. Code Section 18730 and any duly adopted amendments by the Fair Political Practices Commission are hereby incorporated by reference and, with the attached Appendices in which officials and employees are designated and disclosure categories set forth, constitute the Conflict of Interest Code of the Department of Corrections.

Designated employees shall file statements of economic interests with the department. Upon receipts of the statements of the Director, members of boards and commissions, members and administrative officer of the Narcotic Addict Evaluation Authority, and Prison Industry Board Members, the department shall make and retain a copy and forward the original of these statements to the Fair Political Practices Commission. Statements of all other designated employees of the department, the Narcotic Addict Evaluation Authority, and the Prison Industry Board and Authority shall be retained by the department.

## Appendix A

Designated Employees	Disclosure
	Oatogorioo
Director of Corrections	1
Chief Deputy Director	
Assistant Director, Communications	
Assistant Director, Legislative Liaison	
Assistant Director, Affirmative Action	
Assistant Director, Community Resources	
Development	2,4,5,6
Arts Program Manager	
Financial Resources Manager	2.4.5
Assistant Director, Law Enforcement Liaison	
Deputy Director, Legal Affairs	
Chief Counsel	
Staff Counsel	
Senior Structural Engineer	
Associate Civil Engineer	
Deputy Director, Parole and Community Services	
Assistant Deputy Director, Parole and Community	
Services	1
Parole Administrator, Operations	
Parole Administrator, Operations	, , ,
FAIOID AUTHINISTIATUR, FRAITHING AND EMPROYEE ACIDITOR	<del></del>

Correctional Administrator, Re-Entry Programs	<del>2,4,5</del>
Correctional Administrator, Special Projects	3
Regional Administrator	
Deputy Regional Administrator	<del>3,</del> 4
Parole Administrator	3
Training Coordinator	3
Deputy Director, Institutions	<del>1</del>
Chief, Medical Services	<del>3,</del> 4
Chief, Emergency Operations	
Correctional Administrator, Camp Programs	
Assistant Deputy Director, Institutions	
Chief, Education	
Correctional Administrator, Institution Services	
Correctional Administrator, Classification Services	<del>2</del>
Chief, Transportation Officer	2
Staff Services Manager Program Support	
Warden/Superintendent	
Chief Deputy Warden/Chief Deputy Superintendent	<del></del> 1
Chief Medical Officer	
Correctional Administrator	
Chief, Plant Operations	3
Food Manager	
Business Manager	
Procurement Services Officer	
Prison Canteen Manager I/II	<del>3</del>
Correctional Health Services Administrator	
Deputy Director, Evaluation and Compliance	<del>2,5</del>
Assistant Deputy Director, Research and Support	•
Services	2
Inspector General	
Chief, Court Management Services	<del>2</del>
Chief, Management Analysis and Evaluation	
Deputy Director, Administrative Services	
Assistant Deputy Director, Human Resources Management	3
Chief, Personnel/Health and Safety	
Personnel Officer	
Chief, Departmental Training	3,5
Administrator, Correctional Training Center	3
Manager, Training Services	
Chief, Selection and Standards	
Assistant Deputy Director, Financial Management and	
Support Services	1
Food Administrator	
Budget Officer	
Chief, Accounting Services	
Chief Audit/Rate Development Section	

Chief, Contract and Business Services	<del>1</del>
Chief, Contract Services	1
Manager, Construction Contracts	
Manager, Construction Bid Packages	
Supervisor, Services and Reentry Contracts	
Chief, Business Services	
Business Services Officer III	
Business Services Officer II	
Business Services Officer I	
Chief, Data Processing Services	, ,
Assistant Deputy Director, Labor Relations	•
Deputy Director, Planning and Construction	
Administrative Assistant, Planning and Construction	
Assistant Deputy Director, Planning and Construction	
Chief, Construction Operations Branch	
Construction Project Director	
Senior Architect	
Systems Manager II, Telecommunications	
Telecommunications Analyst II	
Associate Governmental Program Analyst/Staff Service	
Analyst, Telecommunications	3.4.5
Chief, Construction Support Branch	
Chief, Contracts and Cost Control Section	
Chief, Contract Management Unit	, ,
, and the second se	
Contract Management Analyst	
Chief, Existing Facility/Day Labor Branch	
Chief, Facilities Services Section	
Department Construction and Maintenance Supervisor	
Associate Mechanical Engineer	
Associate Electrical Engineer	
Associate Construction Analyst	
Construction Supervisor III	
Chief, Government and Community Relations Branch	
Staff Services Manager I	
Senior Environmental Planner	<del>3,4,5</del>
Associate Governmental Program Analyst/Staff Services	
Analyst	<del>3,4,5</del>
Superintendent, Program Development Branch	
Chief Deputy Superintendent, Program Development	
Correctional Administrator, Design Review	
Program Administrator, Design Development	
Program Administrator, Technology Transfer	
Correctional Administrator, Design Development	3 4 5

Correctional Administrator, Business Support	
Services	3,4,5
Program Administrator, Staff Activation	3
New Prison Manager	1
Narcotic Addict Evaluation Authority, Members and	
Executive Officer	1
Prison Industry Board Members	
General Manager, Prison Industry Authority	2,4,5
Assistant General Manager, Administration and	
Marketing Division	1
Chief, Marketing Branch	3,5
Prison Authority Sales Manager	3,5
Comptroller	
Chief, Accounting	3,5
Budget Officer	
Data Processing Manager	3,5
Personnel Officer	
Assistant General Manager, Operations	1
Chief, Production Services	3
Materials Manager	3
Chief, Operations	
Productions Manager I/II/III	•
Chief, Industries Implementation Branch	
Activation Manager I/II/III/IV	
Chief, Quality Assurance	
Consultants	

## Appendix B

Disclosure Categories

Category 1. Employees shall report all investments, sources of income, interests in real property, as well as any business entity in which the designated employee is a director, officer, partner, trustee, employee, or holds any position of management.

Category 2. Employees shall report all investments in business entities and sources of income of a type which may contract with the Department of Corrections to provide services, supplies, materials, machinery or equipment.

Category 3. Employees shall report all investments in business entities and sources of income of a type which may contract with the designated employee's branch to provide services, supplies, materials, machinery or equipment.

Category 4. Employees shall report all investments and interests in improved real property located within California which within the last two years has been,

or in the foreseeable future may be, rented or leased for use of the Department of Corrections.

Category 5. Employees shall report all investments in business entities and sources of income which engage in land development, construction, acquisition or sale of real property.

Category 6. Employees shall report all investments and interests in real property located within two miles of any land owned or used by the Department of Corrections.

NOTE: Authority cited: Sections 87300 and 87302, Government Code; and Section 5058, Penal Code. Reference: Sections 87300 and 87302, Government Code; and Section 5054, Penal Code.

Division 4, Chapter 1, Article 2 of Title 15 is amended as follows:

Article 2 is repealed.

Article 2. Department of the Youth Authority--Conflict of Interest Code

NOTE: It having been found, pursuant to Government Code Section 11409(a), that the printing of the regulations constituting the Conflict of Interest Code is impractical and these regulations being of limited and particular application, these regulations are not published in full in the California Code of Regulations. The regulations are available to the public for review or purchase at cost at the following locations:

DEPARTMENT OF THE YOUTH AUTHORITY 4241 WILLIAMSBOURGH DRIVE, ROOM 115' SACRAMENTO, CALIFORNIA 95823

FAIR POLITICAL PRACTICES COMMISSION 1100 K STREET SACRAMENTO, CALIFORNIA 95814

ARCHIVES
SECRETARY OF STATE
1020 O STREET
SACRAMENTO, CALIFORNIA 95814

The Conflict of Interest Code is designated as Article 2, Chapter 1, Division 4 of Title 15 of the California Code of Regulations, and consists of sections numbered and titled as follows:

Article 2. Conflict of Interest Code

Section 4020.

General Provisions

**Appendix** 

-NOTE

Authority cited: Sections 87300 and 87304, Government Code. Reference: Section 87300, et seq., Government Code.

In accordance with the coherence and readability standards of the Administration Procedure Act as set forth in Government Code subsection 11346.2(a)(1), the last approved revision of 15 CCR 4020 (Operative 1-11-2001; Approved by Fair Political Practices Commission 10-3-2000 (Register 2000, No. 50) is reproduced below:

§4020. Conflict of Interest Code.

The Political Reform Act, Government Code Sections 81000, et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation, 2 California Code of Regulations Section 18730, which contains the terms of a standard conflict of interest code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the conflict of interest code of the Department of the Youth Authority.

Designated employees shall file statements of economic interests with the Department of the Youth Authority. The agency shall make the statements available for public inspection and reproduction. (Gov. Code Section 91008). Upon receipt of the statements of the Director, the agency shall make and retain a copy and forward the original of these statements to the Fair Political Practices Commission. Statements for all other designated employees will be retained by the agency.

#### CONFLICT OF INTEREST CODE

for the Department of the Youth Authority

#### APPENDIX A

Designated Positions	Disclosure Categories
A. EXECUTIVE OFFICE	31 111 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Director	1
Chief Deputy Director	1

	Assistant Director	1
	Assistant Director, Equal Employment Opportunity	<del>2</del>
	Chief Counsel	1
	Staff Counsel	<del>1</del>
	Audit Manager	<del>1</del>
	Chief, Internal Affairs	<del>2</del>
В.	ADMINISTRATIVE SERVICES BRANCH	
	Deputy Director	1
	Assistant Deputy Director	<del>1</del>
	— 1. Information Technology Division	
	— Division Chief	<del>2</del>
	Bureau Chief	<del>2</del>
	2. FACILITIES PLANNING DIVISION	
	— Division Chief	<del>5</del>
	— Chief, Project Management Bureau	<del>2, 4</del>
	Bureau Chief	<del>5</del>
	O FINIANIOIAL MANIA OFMENT DIVIDIONI	
	3. FINANCIAL MANAGEMENT DIVISION	0
	Division Chief	<del>2</del>
	Chief, Business Services Bureau	<del>2</del>
	Staff Services Analyst/ Associate Governmental	2
	Program Analyst Contracts	<del></del>
	4. PERSONNEL MANAGEMENT SERVICES DIVISION	
	— Division Chief	2
	Division Offici	
	— 5. RESEARCH Division	
	— Division Chief	2
		_
	6. Training Services Division	
	— Division Chief	<del>2</del>
<u>C.</u>	NSTITUTIONS AND CAMPS BRANCH	
	Deputy Director	1
	Assistant Deputy Director	1
	Chief, Health Care Services	<del>2</del>
	YA Administrator	<del>2</del>
	Program Administrator	<del>2</del>
	Departmental Food Administrator	2

INSTITUTIONS AND CAMPS YOUTH CORRECTIONAL CENTERS AND FACILITIES

	Superintendent	$-\frac{2}{2}$
	Assistant Superintendent	_2
	Administrator	_2
	Chief Dentist	3
	Chief Medical Officer	
	Business Manager II	
	Program Administrator - Business Manager	
	YA Administrator - Business Manager	
	Business Services Officer	3
	Business Services Officer I	_3
	Training Officer Training Officer I	_2
	Chief of Plant Operation I	3
	Chief of Plant Operation II	_3
	Chief of Plant Operation III	_3
	Food Manager	_3
	Prison Canteen Manager	_3
	Procurement and Services Officer	
	Procurement and Services Officer I	3
	Procurement and Services Officer II	3
	Culinary Arts Instructor - Delta Room	3
	Culinary Arts Instructor - Feast Room	
	Business Manager	3
	Materials and Stores Supervisor (Canteen)	_3
D.	PAROLE SERVICES AND COMMUNITY CORRECTIONS BRANCH	
	Deputy Director	1
	Assistant Deputy Director	1
	Project Directors and/or principal administrator of all special	
	parole projects	_2
	Parole Agent III - Program Specialists	_3
	1. Parole SERVICES AND COMMUNITY CORRECTIONS Regions	S
	Regional Administrator	_2
	Deputy Regional Administrator	_2
	Parole Agent III - Program Specialists, Regional Headquarters	
	Supervising Parole Agents	_2
F.	EDUCATION SERVICES BRANCH	
	Superintendent of Education	_2
	Assistant Superintendent	
		_
F.	OFFICE OF PREVENTION AND VICTIMS SERVICES	
-	Deputy Director	1
	Assistant Deputy Director	

# CONFLICT OF INTEREST CODE for the Department of the Youth Authority

#### APPENDIX B

## **Disclosure Categories**

## Category 1

Designated employees assigned to this disclosure category must report all investments, all sources of income, all interests in real property, and any business entity in which the designated employee is a director, officer, partner, trustee, or employee, or holds any position of management. (All schedules apply.)

## Category 2

Designated employees assigned to this disclosure category must report all investments in and sources of income from business entities which provide services, supplies, materials, machinery, or equipment to the Department of the Youth Authority.

## Category 3

Designated employees assigned to this disclosure category must report all investments in and sources of income from business entities which provide services, supplies, materials, machinery, or equipment to the designated employee's branch.

## Category 4

All real property located in California.

#### Category 5

Designated employees assigned to this disclosure category must report all investments in business entities and sources of income which engage in land development, construction, or acquisition or sale of real property.